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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

CERTIFICATE OF EXPRESS MAILING

This transmittal and the documents and/or fees itemized  
hereon and attached hereto have been deposited as "Express  
Mail Post Office to Addressee" in accordance with 37 C.F.R.  
§ 1.110 with Express Mail Mailing Label Number:  
OEL831642386US

Attorney Docket No.: 60021.375702

First Named Inventor:

**SLOAN, Ronald E.**

J1046 U.S. PRO  
09/929735

08/13/01

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**  
(Continuation application)

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**Ronald E. Sloan and Stephen B. Slutsky**

For: **COMMUNICATION INTERFACE FOR A FINANCIAL MODELING AND COUNSELING SYSTEM**

This application is a  Continuation       Divisional       Continuation-in-part

of prior Application No.: **09/705,290** filed on November 1, 2000; **09/431,389** filed on November 1, 1999;  
**09/452,273** filed on November 30, 1999; and **09/579,849** filed on May 25, 2000 from which priority under  
35 U.S.C. §120 is claimed.

Application Elements:

- 43 Pages of Specification, Claims and Abstract
- 14 Sheets of **formal/informal** Drawings
- 02 Declaration

- Newly executed (original or copy)
- Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).  
The entire disclosure of the prior application from which a copy of the declaration is  
herein supplied is considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.
- Deletion of inventors Signed statement attached deleting inventor(s) named in  
the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

- Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- Power of Attorney
- 37 CFR 3.73(b) Statement by Assignee
- Information Disclosure Statement with Form PTO-1449  Copies of IDS Citations
- Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)
- Return Receipt Postcard
- Other:

#### Claim For Foreign Priority

- Priority of \_\_\_\_\_ Application No. \_\_\_\_\_ filed on \_\_\_\_\_ is claimed under 35 U.S.C. § 119.
- The certified copy has been filed in prior application U.S. Application No. \_\_\_\_\_
- The certified copy will follow.

#### Extension of Time for Prior Pending Application

- A Petition for Extension of Time for the Response to Notice to File Missing Parts is being concurrently filed in the prior pending application. A copy of the Petition for Extension of Time is attached.

#### Amendments

- Amend the specification by inserting before the first line the sentence: "This is a  
 Continuation       Continuation-in-part       Divisional  
application of copending prior  
 Application No.09/705,290 filed on November 1, 2000,  
 International Application \_\_\_\_\_ filed on \_\_\_\_\_ which  
designated the United States,  
the disclosure of which is incorporated herein by reference."

- Cancel in this application original claims \_\_\_\_\_ of the prior application  
before calculating the filing fee. (*At least one original independent claim must be retained.*)

#### Fee Calculation (37 CFR § 1.16)

|  | (Col. 1)<br>NO. FILED | (Col. 2)<br>NO. EXTRA | SMALL ENTITY<br>RATE      FEE | OR | LARGE ENTITY<br>RATE      FEE   |
|--|-----------------------|-----------------------|-------------------------------|----|---------------------------------|
| BASIC FEE  |                       |                       | \$345      \$                 | OR | \$710      \$710.00             |
| TOTAL CLAIMS   | <u>24</u>             | -20 = <u>04</u>       | x09 = <u>  </u>               | OR | x18 = <u>  </u> \$ 72.00        |
| INDEP CLAIMS   | <u>03</u>             | -03 = <u>  00</u>     | x40 = <u>  </u>               | OR | x80 = <u>  </u>                 |
| [ ] Multiple Dependent Claim Presented                                   |                       |                       | \$130 = <u>  </u>             | OR | \$260 = <u>  </u>               |
| * If the difference in Col. 1 is less<br>than zero, enter "0" in Col. 2. |                       |                       | Total <u>  </u> \$            | OR | Total <u>  </u> <b>\$782.00</b> |

Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ is enclosed.

The Commissioner is authorized to charge any fees which may be required, or to credit any overpayment, to Deposit Account No. 50-0797 (Order No. 60021.375702).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0797 (Order No. 60021.375702).

Please send correspondence to the following address:

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Date: August 13, 2001

  
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